

Docket No.: 12259-009001 Attorne

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifford C. Hoyt et al.

Art Unit : Unknown

Serial No.: 10/053,470

Examiner: Unknown

Filed

: November 2, 2001

Title

: FOLDED LIQUID-CRYSTAL VARIABLE OPTICAL ATTENUATOR

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

10/8/02

Marc M. Wefers* for

Frank R. Occhiuti Reg. No. 35,306

Fish & Richardson P.C. 225 Franklin Street

Boston, Massachusetts 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. §10.9(b).

20521405.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Depos

Signature

Laura Murphy

Typed or Printed Name of Person Signing Certificate

O 1 5 2002

Sheet	_1_	of	1
-------	-----	----	---

Substitute Form PTO-1449 (Modified)

Desig.

ID

AA

AB
AC
AD
AE
AF
AG
AH
AI
AJ
AK

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 12259-009001

Application No. 10/053,470

Information Disclosur Statement by Applicant

Patent

Number

3,684,350 4,410,238 Applicant Clifford C. Hoyt et al.

(Use several sheets if necessary)

Filing Date Group Art Unit

(37 CFR §1.98(b))

Examiner

Initial

November 2, 2001

U.S. Patent Documents					
Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate	
08/1972	Wentz				
10/1983	Hanson				

Foreign Patent Documents or Published Foreign Patent Applications									
Examiner	Desig.	Document	Publication	Country or			Trans	Translation	
Initial	ID di	Number	Date	Patent Office	Class	Subclass	Yes	No	
	AL	0 017 528	10/1980	Europe					
	AM	0 959 375	11/1999	Europe					
	AN	99/67679	12/1999	PCT International					
	AO								
	AP								

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document		
	AQ			
	AR	·		
	AS			
	AT			

Examiner Signature	Date Considered	
ZAGUINOT OIGHAGO		
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with		

next communication to applicant.

ON 15 2002 SEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATE PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Marc M. Wefers is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Fish & Richardson P.C., to prepare and prosecute patent applications wherein the patent applicant is the client of Fish & Richardson P.C. and the attorney or agent of record in the applications is a registered practitioner who is a member of Fish & Richardson P.C. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Marc M. Wefers ceases to lawfully reside in the United States, (ii) Marc M. Wefers' employment with Fish & Richardson P.C. ceases or is terminated, or (iii) Marc M. Wefers ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: January 7, 2003

Harry I. Moatz

Director of Enrollment and Discipline